

## Board of Directors Meeting in Public

<b>Subject:</b>	Report of the Board Risk Committee	<b>Date:</b> 20 <sup>th</sup> April 2017		
<b>Prepared By:</b>	Paul White, Risk Manager			
<b>Approved By:</b>	Peter Herring, Chief Executive			
<b>Presented By:</b>	Peter Herring, Chief Executive			
<b>Purpose</b>				
To enable the Board of Directors to take assurance as to the effectiveness of the Trust's risk management arrangements.			<b>Decision</b>	
			<b>Approval</b>	
			<b>Assurance</b>	✓
<b>Strategic Objectives</b>				
<b>To provide outstanding care to our patients</b>	<b>To support each other to do a great job</b>	<b>To inspire excellence</b>	<b>To get the most from our resources</b>	<b>To play a leading role in transforming health and care services</b>
✓	✓	✓	✓	✓
<b>Overall Level of Assurance</b>				
	<b>Significant</b>	<b>Sufficient</b>	<b>Limited</b>	<b>None</b>
	✓			
<b>Risks/Issues</b>				
<b>Financial</b>	Where identified through corporate and operational risk profiles.			
<b>Patient Impact</b>	As above.			
<b>Staff Impact</b>	As above.			
<b>Services</b>	As above.			
<b>Reputational</b>	Potential for failure to meet the requirements of good governance if risk management arrangements are not effective.			
<b>Committees/groups where this item has been presented before</b>				
Board Risk Committee.				
<b>Executive Summary</b>				
The Board Risk Committee (BRC) met on Tuesday 18 <sup>th</sup> April 2017. This paper summarises the key items on the agenda for the attention of the Board of Directors:				
<ul style="list-style-type: none"> <li>The overall Trust risk profile remains stable, with the majority of the 542 recorded corporate and operational risks (77% of the total) considered to be under effective control and rated Low or Very low</li> <li>The Committee received an assurance report from the Women &amp; Children's Division, where it was reported that significant progress has been made in reducing the nurse staffing risk in Paediatrics through concerted efforts in recruitment; there remains an outstanding risk to the delivery of diabetes transition clinics due to a lack of adult specialist nurse resources, which is being addressed in collaboration with the Medicine Division</li> <li>An interim report on the Trust's Emergency Preparedness, Resilience and Response (EPRR) position was provided by the Emergency Planning Officer; the Trust now has formal business continuity plans in place across the organisation (including contracted services) and an effective Resilience Assurance Committee providing strategic oversight and governance</li> </ul>				

- There are positive indicators of continuous improvement in risk management performance, evidenced by the maintenance of up to date risk registers across the majority of the organisation
- The Board Assurance Framework (BAF) was reviewed following updates made by the Lead Committees, with the following points noted:
  - Staffing levels (AF7) and Financial sustainability (AF4) remain the most significant strategic risks to the Trust
  - Recent staff survey results reflect the progress that has been made in reducing the risks associated with low staff engagement and morale (AF6)
  - Progress in senior leadership recruitment to address Principal Risk AF8 – the Committee considered that this may no longer represent a strategic risk to the Trust
  - No residual risk ratings for any Principal Risks have changed
- It was highlighted that the risks associated with the introduction of IR35 legislative changes, as previously documented in the BAF, have been assessed as particularly significant for the Emergency and Urgent Care Division
- A significant risk to the continuity of the Head and Neck Service (ENT) in Surgery Division was also raised, due to on-going issues with recruiting to substantive consultant posts
- No additional items were identified for escalation to the Board