

Board of Directors Meeting in Public

Subject:	Report of the Board Risk Committee	Date: 15 th March 2017		
Prepared By:	Paul White, Risk Manager			
Approved By:	Peter Herring, Chief Executive			
Presented By:	Peter Herring, Chief Executive			
Purpose				
To enable the Board of Directors to take assurance as to the effectiveness of the Trust's risk management arrangements.			Decision	
			Approval	
			Assurance	✓
Strategic Objectives				
To provide outstanding care to our patients	To support each other to do a great job	To inspire excellence	To get the most from our resources	To play a leading role in transforming health and care services
✓	✓	✓	✓	✓
Overall Level of Assurance				
	Significant	Sufficient	Limited	None
	✓			
Risks/Issues				
Financial	Where identified through corporate and operational risk profiles.			
Patient Impact	As above.			
Staff Impact	As above.			
Services	As above.			
Reputational	Potential for failure to meet the requirements of good governance if risk management arrangements are not effective.			
Committees/groups where this item has been presented before				
Board Risk Committee.				
Executive Summary				
The Board Risk Committee (BRC) met on Tuesday 14 th March 2017. This paper summarises the key items on the agenda for the attention of the Board of Directors:				
<ul style="list-style-type: none"> • The overall Trust risk profile remains stable, with the majority of corporate and operational risks considered to be under effective control and the profile reflective of natural variation as existing risks are reviewed and emerging risks identified • The Committee received assurance reports from the divisions of Emergency & Urgent Care and Surgery, as well as from the Nottinghamshire Health Informatics Service (NHIS) • Within Emergency & Urgent Care there are substantial budgetary risks due to the cost of reliance upon temporary clinical staff; the management of demand also remains a key area of risk for the division, and the Committee was assured by the plans in place or in development to address risks to patient care • The primary risks within Surgery continue to be within Sterile Services and concern both the vulnerability of the estates infrastructure and the availability of sterile surgical instruments; a revised business case for investment in surgical instruments is to be put to the Executive Team, and a strategic plan for the service is being developed with Estates 				

- Assurance was provided by the Director of NHIS that the Trust continues to manage the risk of cyber-attack by responding to NHS Digital alerts to ensure that network controls are maintained; a cyber-security strategy is in development to identify and address areas of vulnerability, the greatest of which is likely to be the potential for human error
- The risks associated with migration to the nhs.net email system are not as substantial as previously thought, as the national deadline has been extended from June to September and the consequences of non-compliance are not as severe as they might have been; project management is in place to coordinate implementation
- Progress has been made to address the Significant risk relating to the vulnerability of the WinPath IT system servers – this risk has now been downgraded to an acceptable level
- The Board Assurance Framework (BAF) was reviewed following updates made by the Lead Directors; no residual risk ratings for any Principal Risks have changed
- The Committee received a report from the Director of HR & OD on the operational (service continuity) and financial risks associated with the introduction of IR35 legislative changes impacting on the availability of locum medical staff in particular (which has been included in the BAF); mitigation plans will continue to be updated through the OD & Workforce Committee