

## Board of Directors Meeting in Public

<b>Subject:</b>	Report of the Board Risk Committee	<b>Date:</b> 19 <sup>th</sup> June 2017		
<b>Prepared By:</b>	Paul White, Risk Manager			
<b>Approved By:</b>	Peter Herring, Chief Executive			
<b>Presented By:</b>	Peter Herring, Chief Executive			
<b>Purpose</b>				
To enable the Board of Directors to take assurance as to the effectiveness of the Trust's risk management arrangements.			<b>Decision</b>	
			<b>Approval</b>	
			<b>Assurance</b>	✓
<b>Strategic Objectives</b>				
<b>To provide outstanding care to our patients</b>	<b>To support each other to do a great job</b>	<b>To inspire excellence</b>	<b>To get the most from our resources</b>	<b>To play a leading role in transforming health and care services</b>
✓	✓	✓	✓	✓
<b>Overall Level of Assurance</b>				
	<b>Significant</b>	<b>Sufficient</b>	<b>Limited</b>	<b>None</b>
	✓			
<b>Risks/Issues</b>				
<b>Financial</b>	Where identified through corporate and operational risk profiles.			
<b>Patient Impact</b>	As above.			
<b>Staff Impact</b>	As above.			
<b>Services</b>	As above.			
<b>Reputational</b>	Potential for failure to meet the requirements of good governance if risk management arrangements are not effective.			
<b>Committees/groups where this item has been presented before</b>				
Board Risk Committee.				
<b>Executive Summary</b>				
The Board Risk Committee (BRC) met on Thursday 15 <sup>th</sup> June 2017. This paper summarises the key items on the agenda for the attention of the Board of Directors:				
<ul style="list-style-type: none"> <li>• The overall Trust risk profile remains stable, with the majority of the 599 recorded corporate and operational risks (80% of the total) considered to be under effective control and rated Low or Very low</li> <li>• The Committee received an assurance report which summarised progress with the management of operational risks in the Diagnostics &amp; Outpatients Division, particularly with regard to equipment replacement in Pathology and recruitment in Radiology</li> <li>• A report on Corporate Services risks highlighted those actions being taken in relation to records management and digital continuity risks in particular</li> <li>• The Committee also received an assurance report on cyber security from Nottinghamshire Health Informatics Service (NHIS), following the recent incident that affected NHS systems nationally; the report highlighted the risk averse approach taken which protected the Trust's systems from infection, and the extensive review process that was taking place to identify lessons to inform the development of a cyber security strategy</li> </ul>				

- There are 5 Significant risks outside of the Board Assurance Framework (BAF); there continue to be significant service continuity risks in ENT (Surgery Division), along with financial risks relating to the annual control total and the possibility that Better+Together Alliance plans fail may to reduce demand in line with Trust planning assumptions
- The Board Assurance Framework (BAF) was reviewed, with the following points noted:
  - Staffing levels (AF7) and Financial sustainability (AF4) remain the most significant strategic risks to the Trust
  - The action plan for Safe & effective care (AF1) has been updated to align with the Advancing Quality Programme (AQP); the Quality Committee will be asked to review the current and target ratings for this risk in light of improvements made during the past 12 months
  - The Organisational Development & Workforce Committee will be asked to review Staff engagement & morale (AF6) and specifically to consider whether this risk is now managed to the extent that it can be removed from the BAF
  - The Committee agreed that the contractual notice received from the CCG should be assessed and escalated to the Board as a separate strategic risk
- The Committee also discussed the continuing development of the Trust's approach to horizon scanning and risk appetite, in order enhance the identification of strategic risks to the organisation's new priorities; a development session will be arranged with the Executive Team to take this forward