

# Board of Directors

# Report

**Subject:** Report of the Board Risk Committee  
**Date:** 23<sup>rd</sup> November 2016  
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**Lead Director:** Peter Herring, Chief Executive

## Executive Summary

The Board Risk Committee (BRC) met on 17<sup>th</sup> November 2016. This paper summarises the key items on the agenda and those matters agreed by the Committee for reporting to the Board of Directors:

- There was 1 reportable risk (defined as an operational risk scoring 10 or more) presented by the Deputy Director of Training and Development in relation to the provision of external funding for training; the Deputy Director of Human Resources also presented an assurance report as to the on-going management of risk within the directorate
- The Director of Nottinghamshire Health Informatics (NHIS) presented an overview of their risk management practices and governance arrangements and how they interact with the Trust's processes; it was agreed that opportunities to further strengthen these arrangements through the Trust's Information Governance Group would be taken forward
- Assurance was received that the Trust's cyber security controls were up to date and that actions recommended by NHS Digital had been implemented; investigations into the cause of the recent cyber attack in North Lincolnshire were still on-going and updates will be provided through the Trust's Resilience Assurance Committee (RAC)
- The Director of NHIS also updated the Committee on the early stages in developing an IT strategy for the Trust, to further enhance the management of IT risks in future
- A new significant risk (scoring 16) has been added to the corporate risk register in relation to the achievement of the financial control totals for 2017/18 and 2018/19
- The Trust is still carrying significant operational risks in relation to the WinPath IT system in Pathology – where plans are underway to upgrade the servers that are causing the increased risk – and the backlog of Ophthalmology outpatients – where patient records are being validated and processes have been improved
- The Principal Risks within the Board Assurance Framework (BAF) were reviewed. Details of changes agreed and recommendations to the Board have been included in a separate BAF report.

## Recommendation

1. The Board of Directors are asked to receive and note this report from the Board Risk Committee.

Relevant Strategic Priorities (please mark in bold)	
Ensure the highest standards of safe care are consistently delivered by, and for, individuals, teams and departments	Ensure that patients experience the very best care, building on good practice and listening and learning from both negative and positive feedback and events
Provide timely access to diagnosis, treatment and care when people need it and safely reduce the time patients spend in hospital	Raise the level of staff engagement through strong leadership, communication, feedback and recognition
Reduce the scale of our financial deficit by reducing costs, improving utilisation of resources and productivity, and achieving best value for money	Work in partnership to keep people well in the community, and enable them to return as soon as they are ready to leave hospital
Develop and implement a programme of work in conjunction with Nottingham University Hospital NHST to create a new combined organisation	

How has organisational learning been disseminated	Through management teams, internal governance structures and the use of the Datix risk register.
Links to the BAF	All BAF Risks are reviewed by the BRC.
Financial Implications/Impact	None specific to this report.
Legal Implications/Impact	None specific to this report.
Partnership working & Public Engagement Implications/Impact	None specific to this report.
Committees/groups where this item has been presented before	Board Risk Committee.