

Board of Directors

Report

Subject: Report of the Board Risk Committee
Date: 15th December 2016
Author: Paul White, Risk Manager
Lead Director: Peter Herring, Chief Executive

Executive Summary

The Board Risk Committee (BRC) met on 15th December 2016. This paper summarises the key items on the agenda for the attention of the Board of Directors:

- The Committee received an update on the development of the Trust's Corporate Services risk profile, highlighting that there are currently no areas of significant concern; whilst areas including Corporate Nursing, Governance, Procurement and Information Governance have a range of risks documented, further work is required to complete the profile in order to present a reliable picture of compliance risk exposure across the Trust
- A new significant risk (scoring 15) has been escalated due to the pressure on the Acute Medicine annual budget caused by the use of unfunded beds on Ward 35 to manage flow and capacity, with plans to recover income and thereby reduce the budget impact
- The risk relating to the achievement of future control totals remains significant, and is reflected in the Board Assurance Framework (BAF) as well as the Finance department risk register
- The Trust is still carrying significant operational risks in relation to the WinPath IT system in Pathology and the backlog of Ophthalmology outpatients – as previously highlighted – although progress is being made to address both of these risks
- Further work is required to align specialty risk registers with the financial forecasts produced each month and to ensure that appropriate risk treatment plans are in place for those services with elevated levels of risk
- A Risk Management Strategy and Training Programme will be presented to the meeting of the Committee in January, to outline the approach the Trust plans to take to fully embed effective risk management practice throughout the organisation
- The Principal Risks within the Board Assurance Framework (BAF) were reviewed following updates made by the Lead Directors; whilst several Principal Risk action plans have been updated and progress continues to be made across the Trust's strategic risk profile, no current risk ratings have changed this month
- There are no additional strategic risks which the Committee considers should be added to the BAF at this time

Recommendation

1. The Board of Directors are asked to receive and note this report from the Board Risk Committee.

Relevant Strategic Priorities (please mark in bold)	
Ensure the highest standards of safe care are consistently delivered by, and for, individuals, teams and departments	Ensure that patients experience the very best care, building on good practice and listening and learning from both negative and positive feedback and events
Provide timely access to diagnosis, treatment and care when people need it and safely reduce the time patients spend in hospital	Raise the level of staff engagement through strong leadership, communication, feedback and recognition
Reduce the scale of our financial deficit by reducing costs, improving utilisation of resources and productivity, and achieving best value for money	Work in partnership to keep people well in the community, and enable them to return as soon as they are ready to leave hospital

How has organisational learning been disseminated	Through management teams, internal governance structures and the use of the Datix risk register.
Links to the BAF	All BAF Risks are reviewed by the BRC each month.
Financial Implications/Impact	None specific to this report.
Legal Implications/Impact	None specific to this report.
Partnership working & Public Engagement Implications/Impact	None specific to this report.
Committees/groups where this item has been presented before	Board Risk Committee.