

Forecast



Monitor

Making the health sector
work for patients

© Crown copyright 2014

< Insert Trust Logo here >

Forward Plan Financial Return (IFRS)

Sherwood Forest Hospitals NHS Foundation Trust

Plan for YE 31 March 2016

APR template version 16.1.1.29

Validation errors:

Validation message: No errors noted

This planning tool completed by (and Monitor queries to be directed to):

Name:

Job Title:

Telephone number:

Email address:

Date:

Your relationship management team at Monitor:

Contact: Jay Mistry
Email: Jay.Mistry@Monitor.gov.uk
Tel: 02037470166

Or: Satpal Singh
Email: Satpal.Singh@Monitor.gov.uk
Tel: 02037470318

For: technical queries about this template or submission
Email: compliance@monitor.gov.uk

Link to Portal: [Click on the link to go to the portal](#)

Guidance: [click for guidance \(requires internet access\)](#)

Self Certification

1 Declaration of sustainability

The board declares that, on the basis of the plans as set out in this document, the Trust will be financially, operationally and clinically sustainable according to current regulatory standards in one, three and five years time.

1

2 Continuity of services condition 7 - Availability of Resources

After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account distributions which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate.

OR

After making enquiries the Directors of the Licensee have a reasonable expectation, subject to what is explained below, that the Licensee will have the Required Resources available to it after taking into account in particular (but without limitation) any distribution which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate. However, they would like to draw attention to the following factors (as described in the text box in section 4, below) which may cast doubt on the ability of the Licensee to provide Commissioner Requested Services.

OR

In the opinion of the Directors of the Licensee, the Licensee will not have the Required Resources available to it for the period of 12 months referred to in this certificate.

3 Declaration of interim and/or planned term support requirements

The trust forecasts a requirement for Department of Health (DH) interim support or planned term support for the year ending 31 March 2016

Note: If interim support is forecast in the plan period, but was not required in the preceding year, the trust should contact its relationship team by 31 January 2015, and before including any amounts in their plan (unless the DH has already approved the interim support funding). Further information regarding the requirements for trusts forecasting a need for DH funding support can be found in the planning guidance and template guidance.

4 Statement of main factors taken into account in making the above declaration

In making the above declaration, the main factors which have been taken into account, as stated in section 2b above, by the Board of Directors are as follows:

In signing below, the board is confirming that:

To the best of its knowledge, using its own processes and having assessed against Monitor's Risk Assessment Framework, the financial projections and other supporting material included in the completed Annual Plan Review Financial Template represent a true and fair view, are internally consistent with the operational and, where relevant, strategic commentaries, and are based on assumptions which the board believe to be credible.

Signed on behalf of the board of directors, and having regard to the views of the governors

1

Signature

Name

Capacity

Date

Signature

Name

Capacity

Date

Declaration of risks against healthcare targets and indicators for 2015-2016

These targets and indicators are set out in the Risk Assessment Framework 14/15
 Definitions can be found in the Risk Assessment Framework 14/15

	Threshold or Target YTD	Scoring under Risk Assessment Framework	Risk declared at Annual Plan	Scoring under Risk Assessment Framework	Risk applicable to which quarter(s) in 2015-16				
					Q1	Q2	Q3	Q4	
Target or Indicator (per Risk Assessment Framework)									
Referral to treatment time, 18 weeks in aggregate, admitted patients	90%	1.0							
Referral to treatment time, 18 weeks in aggregate, non-admitted patients	95%	1.0							
Referral to treatment time, 18 weeks in aggregate, incomplete pathways	92%	1.0							
A&E Clinical Quality- Total Time in A&E under 4 hours	95%	1.0							
Cancer 62 Day Waits for first treatment (from urgent GP referral)	85%	1.0							
Cancer 62 Day Waits for second or subsequent treatment - surgery	90%	1.0							
Cancer 31 day wait for second or subsequent treatment - drug treatments	94%	1.0							
Cancer 31 day wait for second or subsequent treatment - radiotherapy	94%	1.0							
Cancer 31 day wait from diagnosis to first treatment	96%	1.0							
Cancer 2 week (all cancers)	93%	1.0							
Cancer 2 week (breast symptoms)	93%	1.0							
Care Programme Approach (CPA) follow up within 7 days of discharge	95%	1.0							
Care Programme Approach (CPA) formal review within 12 months	95%	1.0							
Admissions had access to crisis resolution / home treatment teams	95%	1.0							
Meeting commitment to serve new psychosis cases by early intervention teams	95%	1.0							
Ambulance Category A 8 Minute Response Time - Red 1 Calls	75%	1.0							
Ambulance Category A 8 Minute Response Time - Red 2 Calls	75%	1.0							
Ambulance Category A 19 Minute Transportation Time	95%	1.0							
Clostridium Difficile -meeting the C.Diff objective		1.0							
Minimising MH delayed transfers of care	≤7.5%	1.0							
Data completeness, MH: identifiers	97%	1.0							
Data completeness, MH: outcomes	50%	1.0							
Compliance with requirements regarding access to healthcare for people with a learning disability	N/A	1.0							
Community care - referral to treatment information completeness	50%	1.0							
Community care - referral information completeness	50%	1.0							
Community care - activity information completeness	50%	1.0							
Risk of, or actual, failure to deliver Commissioner Requested Services	N/A								
CQC compliance action outstanding (as at 31 Mar 2015)	N/A								
CQC enforcement action within last 12 months (as at 31 Mar 2015)	N/A								
CQC enforcement action (including notices) currently in effect (as at 31 Mar 2015)	N/A								
Moderate CQC concerns or impacts regarding the safety of healthcare provision (as at 31 Mar 2015)	N/A								
Major CQC concerns or impacts regarding the safety of healthcare provision (as at 31 Mar 2015)	N/A								
Unable to maintain, or certify, a minimum published CNST level of 1.0 or have in place appropriate alternative arrangements	N/A								
Score of 7 or less in standard 1 assessment at last NHSLA CNST inspection (maternity or all services)	N/A								
Trust unable to declare ongoing compliance with minimum standards of CQC registration	N/A								
Results left to complete									
Total Score					36 0				

Membership return

This is your 2014/15 annual membership report and forms part of your membership plan for 2015/16

NOTE: Socio-economic data should be completed using profiling techniques (eg: post codes) or other recognised methods. To the extent socio-economic data is not already collected from members, it is not anticipated that NHS foundation trusts will make a direct approach to members to collect this information.

Actual Members 31-Mar-15	Eligible Membership 31-Mar-15	Actual 2014-15	Estimated 2015-16
-----------------------------	----------------------------------	-------------------	----------------------

sense

Membership size and movements

Public constituency			
At year start (April 1)	(+ve)	21,192	19,506
New members	(+ve)	394	
Members leaving	(+ve)	2,080	
At year end (31 March)	(+ve)	19,506	19,506
Staff constituency			
At year start (April 1)	(+ve)		0
New members	(+ve)		
Members leaving	(+ve)	0	
At year end (31 March)	(+ve)		0
Patient constituency			
At year start (April 1)	(+ve)		0
New members	(+ve)		
Members leaving	(+ve)	0	
At year end (31 March)	(+ve)		0

Membership return

This is your 2014/15 annual membership report and forms part of your membership plan for 2015/16

NOTE: Socio-economic data should be completed using profiling techniques (eg: post codes) or other recognised methods. To the extent socio-economic data is not already collected from members, it is not anticipated that NHS foundation trusts will make a direct approach to members to collect this information.

sense

Actual Members 31-Mar-15	Eligible Membership 31-Mar-15	Actual 2014-15	Estimated 2015-16
-----------------------------	----------------------------------	-------------------	----------------------

Analysis of membership

Public constituency

Age (years):

0-16	15	57,391
17-21	427	278,248
22+	17,633	4,350,117
Unknown	1,431	
Total	19,506	4,685,756

Ethnicity

White	17,548	4,219,523
Mixed	29	83,349
Asian or Asian British	94	282,655
Black or Black British	39	76,254
Other	13	23,975
Unknown	1,783	0

Socio-economic groupings*:

AB	1,675	682,106
C1	5,700	940,355
C2	8,968	585,071
DE	2,958	1,213,001
Unknown	205	1,265,223

Gender:

Male	7,263	2,329,271
Female	12,029	2,356,485
Unknown	214	0

Patient Constituency

Age (years):

0-16	0	
17-21		
22+		

Staff Constituency

Members

0	
---	--

List of Governors' elections

Elections Held (between 1 April 2014 and 31 March 2015 (This is your 2014/15 election report))

#	Constituency Type	Full Name of Constituency	No. of candidates	No. of Votes cast	Turnout	No. of Eligible voters	Date of election
example	Public	North west ourtown	4	1,345	16.3%	8,230	01/07/2014
1	Public	Mansfield	6	1,294	20.7%	6,248	23/10/2014
2	Public	Ashfield	6	1,183	19.9%	5,953	23/10/2014
3	Public	Newark and Sherwood	7	1,313	27.2%	4,834	23/10/2014
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							