

Board of Directors Meeting in Public

Subject:	Report of the Audit and Assurance Committee	Date: 29 th November 2018		
Prepared By:	Graham Ward, NED, Chair of Audit and Assurance Committee, Shirley A Higginbotham Director of Corporate Affairs			
Approved By:	Graham Ward, NED, Chair of Audit and Assurance Committee			
Presented By:	Graham Ward, NED, Chair of Audit and Assurance Committee			
Purpose				
The Audit and Assurance Committee met on 22 nd November 2018. This paper informs members of the significant matters agreed by the Committee for reporting to the Board of Directors:			Approval	
			Assurance	x
			Update	
			Consider	
Strategic Objectives				
To provide outstanding care to our patients	To support each other to do a great job	To inspire excellence	To get the most from our resources	To play a leading role in transforming health and care services
x	x	x	x	x
Overall Level of Assurance				
	Significant	Sufficient	Limited	None
		x		
Risks/Issues				
Financial	The Audit and Assurance Committee is responsible for ensuring the system of internal control is robust and effective in order to provide high quality, value for money services to patients and provide a safe environment for staff. Safeguarding the reputation of the Trust			
Patient Impact				
Staff Impact				
Services				
Reputational				
Committees/groups where this item has been presented before				
N/A				
Executive Summary				
<p>The Board of Directors is asked to accept the content of the Report and note the items highlighted below:</p> <ul style="list-style-type: none"> • Outstanding Audit Recommendations – Quality Account outstanding actions, action owners to be invited to present at next meeting to assess steps being taken and progress made. • Clinical Audit – committee requested outcomes of the audits be included in the deep dive report due at the next meeting. There is a perception that this is a non-value adding exercise and this needs to be addressed. • Conflicts of Interest – slow progress, further actions being pursued. <p>The Audit and Assurance Committee met on 22nd November. The meeting was quorate, the Minutes approved and there were no outstanding actions. There were no declarations of interest.</p> <p>The following items were presented and discussed:</p> <p><u>Counter Fraud</u></p> <p>Progress reported presented and on plan, two investigations closed in the period, Counter Fraud Awareness week, commencing 25th November with a stand in the KTC 30th November 2018</p>				

Internal Audit Progress Report

Progress report presented, slightly behind plan but no cause for concern at this stage. ~Draft report relating to Stage 2 of the Head of Internal Audit Opinion to be sent to the Trust shortly.

External Audit

Timetable of activities received with planning to commence in December.

Outstanding Audit Recommendations

Outstanding recommendations from Quality Account audit included. Concerns regarding lack of update for actions which had reached implementation date. Request for the action owners to be invited to next meeting of the Audit Committee.

Clinical Audit

Verbal progress report, deep dive report to include the outcomes of the clinical audits and how these drive change, to be provided to Audit committee in January 2019.

Register of Interests

Committee received an update report on the Conflicts of Interest Report, slow progress was being made, communication with staff who had not yet completed were on-going. Counter Fraud checks at companies' house were also being pursued.